

# Minimal FA's

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# Decision Properties of Regular Languages

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## Decision Properties

- Questions we can ask about regular languages and how we answer such questions.

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## Languages

- Recall.
  - What is a language?
  - What is a class of languages?

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# Regular Languages

- What we know about regular languages
  - Described using regular expressions
    - Set operations of union, concatenation, Kleene Star
  - Kleene Theorem
    - A language is regular iff there exists a finite automata that accepts the language

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## Closure Properties of Regular Languages

- Regular languages are closed under
  - Union
  - Concatenation
  - Kleene Star
  - Intersection
  - Difference
  - Complement

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# Finite Automata

- What we know about finite automata
  - Three equivalent variants
    - Finite Automata (Deterministic)
    - Non-deterministic Finite Automata
    - Non-deterministic Finite Automata with  $\Lambda$  transitions
  - Can convert from one to the other

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# Regular Languages

- Means of specifying a regular language
  1. Regular Expression
  2. Finite Automata (Deterministic)
  3. Non-deterministic Finite Automata
  4. Non-deterministic Finite Automata with  $\Lambda$  transitions

We can convert from one specification to another.

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## Decision Properties

- Given regular languages, specified in any one of the four means, can we develop algorithms that answer the following questions:
  1. Is the language empty?
  2. Is the language finite?
  3. Is a given string in the language?
  4. Given two languages, are there strings that are in both?
  5. Is the language a subset of another regular language?
  6. Is the language the same as another regular language?

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## Non-regular languages

- And then there's the question:
  - Is there a language  $L$  that is not regular?

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## Decision Properties

- Some of these questions we can answer already with what we know
  - Is a given string in the language?
  - Given two languages are there strings that are in both?
- Others require additional tools:
  - Is the language the same as another regular language?
  - Is there a language  $L$  that is not regular?

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## Additional tools

- Minimal finite automata
  - Create a finite automata with the minimum number of possible states
- Pumping Lemma
  - Defines necessary properties for strings in a regular language
  - Can be used to show that languages are not regular
  - We will consider this next week...

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## Minimal Finite Automata

- Motivation
  - Consider the question:
    - Do two finite automata accept the same language?
  - To answer, we introduce the Minimal Finite Automata (MFA)
    - Given an FA, create a new FA with the minimal number of states possible that accepts the same language.

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## Minimal Finite Automata

- Motivation
  - Given the question:
    - Do two finite automata accept the same language?
  - Answer:
    - We can generate the MFA for each FA, then compare the MFAs on a state by state basis.

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# Minimal Finite Automata

- Plan
  - Equivalent states of an FA
  - Devise an algorithm (based on equivalent states) that creates a minimal FA from an FA
  - Some examples

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# Minimal Finite Automata

- Equivalent States
  - $M = (Q, \Sigma, q_0, A, \delta)$
  - Two states,  $p, q \in Q$  are said to be equivalent if
    - For all strings  $x \in \Sigma^*$
    - $\delta^*(p, x)$  is in an accepting state iff  $\delta^*(q, x)$  is in an accepting state
      - If  $\delta^*(p, x)$  is an accepting state then  $\delta^*(q, x)$  is an accepting state
      - If  $\delta^*(p, x)$  is not an accepting state then  $\delta^*(q, x)$  is not an accepting state
  - If two states are not equivalent, they are said to be distinguishable.

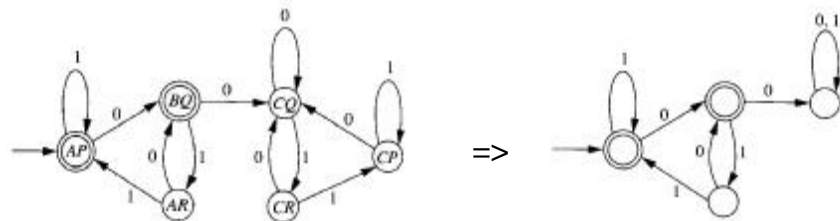
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# Minimal Finite Automata

- Equivalent States
  - In building a MFA, equivalent states can be combined.

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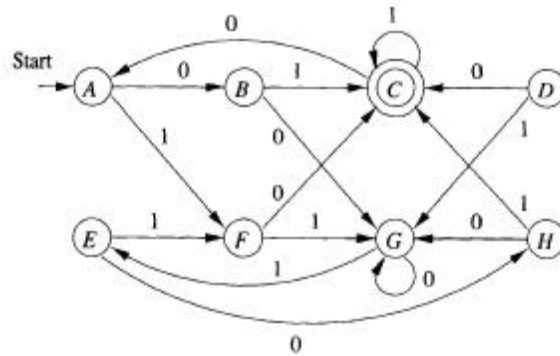
# Minimal Finite Automata



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## Minimal Finite Automata

- Example



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## Minimal Finite Automata

- Example:
  - States C and G are distinguishable
    - One is accepting, one is not
  - States A and G are distinguishable
    - $\delta^*(A, 01) = C$  (accepting)
    - $\delta^*(G, 01) = E$  (not-accepting)

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## Minimal Finite Automata

- Example:
  - States B and H are equivalent
    - $\delta(B, 1) = \delta(H, 1) = C$ 
      - $\delta^*(B, 1x) = \delta^*(H, 1x)$  for any  $x$
    - $\delta(B, 0) = \delta(H, 0) = G$ 
      - $\delta^*(B, 0x) = \delta^*(H, 0x)$  for any  $x$
  - So for any  $x$ ,  $\delta^*(B, x)$  and  $\delta^*(H, x)$  will either both be accepting or both not be accepted.

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## Minimal Finite Automata

- Example:
  - States A and E are equivalent
    - $\delta(A, 1) = \delta(E, 1) = F$ 
      - $\delta^*(A, 1x) = \delta^*(E, 1x)$  for any  $x$
    - $\delta(A, 0) = B, \delta(E, 0) = H$ 
      - B and H are equivalent
      - $\delta^*(A, 0x)$  and  $\delta^*(E, 0x)$  will either both be accepting or both be non-accepting.

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## Minimal Finite Automata

- Recursive algorithm to find distinguishable states:
  - Consider pairs  $\{p,q\}$
  - For each pair we will determine whether p is distinguishable from q
  - Said another way, for each pair  $\{p,q\}$  we will determine if p is not equivalent to q.

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## Minimal Finite Automata

- Recursive algorithm
  - Base case:
    - If p is accepting and q is non-accepting then  $\{p,q\}$  is distinguishable
  - Induction
    - For some pair  $\{p,q\}$  if
      - $\delta(p,a) = r$  and  $\delta(q,a) = s$  and
      - $\{r,s\}$  is distinguishable then
      - $\{p,q\}$  is distinguishable

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## Minimal Finite Automata

- Let's take a look at this induction step
  - If  $r = \delta(p,a)$  and  $s = \delta(q,a)$  are distinguishable, then there is a string  $x$  such that  $\delta(r,x)$  is accepting and  $\delta(s,x)$  is not, or visa-versa
  - Then for  $x$ ,  $\delta(p,ax)$  is accepting and  $\delta(q,ax)$  is not, or visa-versa.
  - We found a string,  $ax$  such that  $\delta(p,ax)$  is accepting and  $\delta(q,ax)$  is not (or visa-versa), thus  $\{p,q\}$  are distinguishable

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## Minimal Finite Automata

- This algorithm is sometime best visualized by using a table with each table cell representing a pair of states. A mark in a table cell indicates that the two states of the pair are distinguishable.

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## Minimal Finite Automata

- Distinguishable table

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| B |   |   |   |   |   |   |   |
| C |   |   |   |   |   |   |   |
| D |   |   |   |   |   |   |   |
| E |   |   |   |   |   |   |   |
| F |   |   |   |   |   |   |   |
| G |   |   |   |   |   |   |   |
| H |   |   |   |   |   |   |   |
|   | A | B | C | D | E | F | G |

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## Minimal Finite Automata

- Restatement of algorithm
  - For all pairs  $\{p,q\}$  such that  $p$  is accepting and  $q$  is not, mark the equivalent cell in the table.
  - Consider each pair  $\{p,q\}$  not yet marked.
    - Determine  $r = \delta(p,a)$  and  $s = \delta(q,a)$  for each  $a$  in  $\Sigma$ .
    - If  $\{r,s\}$  is marked, then mark  $\{p,q\}$
  - Repeat until no further cells are marked during an iteration of the algorithm

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## Minimal Finite Automata

- Example

|                                |                                |
|--------------------------------|--------------------------------|
| $\delta(A, 0) = B$             | $\delta(A, 1) = F$             |
| $\delta(B, 0) = G$             | $\delta(B, 1) = \underline{C}$ |
| $\delta(C, 0) = A$             | $\delta(C, 1) = \underline{C}$ |
| $\delta(D, 0) = \underline{C}$ | $\delta(D, 1) = G$             |
| $\delta(E, 0) = H$             | $\delta(E, 1) = F$             |
| $\delta(F, 0) = \underline{C}$ | $\delta(F, 1) = G$             |
| $\delta(G, 0) = G$             | $\delta(G, 1) = E$             |
| $\delta(H, 0) = G$             | $\delta(H, 1) = \underline{C}$ |

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## Minimal Finite Automata

- Let's try on our example

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| B | x |   |   |   |   |   |   |
| C | x | x |   |   |   |   |   |
| D | x | x | x |   |   |   |   |
| E |   | x | x | x |   |   |   |
| F | x | x | x |   | x |   |   |
| G | x | x | x | x | x | x |   |
| H | x |   | x | x | x | x | x |
|   | A | B | C | D | E | F | G |

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## Minimal Finite Automata

- Once our table is complete
  - All unmarked cells correspond to state pairs that are not-distinguishable, i.e. they are equivalent
  - Combine equivalent states into one
  - Transitions from equivalent states should map to equivalent states

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## Minimal Finite Automata

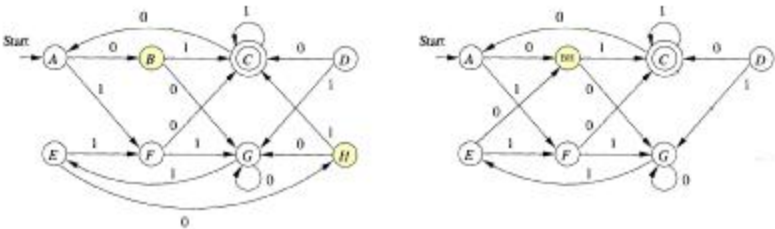
|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| B | x |   |   |   |   |   |   |
| C | x | x |   |   |   |   |   |
| D | x | x | x |   |   |   |   |
| E | x | x | x | x |   |   |   |
| F | x | x | x | x | x |   |   |
| G | x | x | x | x | x | x |   |
| H | x | x | x | x | x | x | x |
|   | A | B | C | D | E | F | G |

E and A are equivalent  
 H and B are equivalent  
 D and F are equivalent

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# Minimal Finite Automata

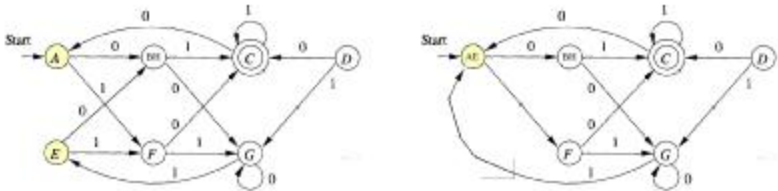
- Combine H and B



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# Minimal Finite Automata

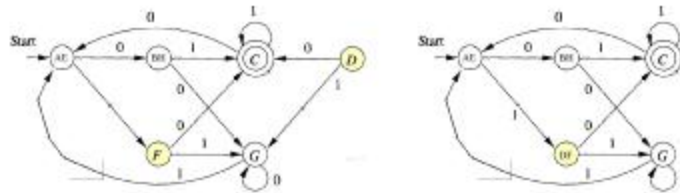
- Combine E and A



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## Minimal Finite Automata

- Combine D and F



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## Minimal Finite Automata

- What have we done?
  - Defined the notion of equivalent states
  - Developed a recursive algorithm to determine which states in an FA are equivalent
  - Combine equivalent states to create FA with minimal number of states.

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## Minimal Finite Automata

- Let's revisit the question:
  - Given 2 specifications of regular languages, do the specifications describe the same language.
    - Create a MFA for each language
    - Compare the MFAs on a state by state basis.

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## For the mathematically minded

- Let's go back to our Discrete Math
  - Relation
    - Defines relationship between objects
    - Usually given as an ordered pair,
      - $(x, y)$  where  $x, y \in$  some Set
  - Equivalence relation
    - Reflective:  $(a, a) - aRa$
    - Symmetric: if  $(a,b)$  then  $(b,a) - aRb \rightarrow bRa$
    - Transitive: if  $(a,b)$  and  $(b,c)$  then  $(a,c) - aRb$  and  $bRc \rightarrow aRc$

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## For the mathematically minded

- Equivalence relations
  - The nice thing about equivalence relations
    - It partitions the elements of your set into a number of distinct and disjoint subsets.
    - Each subset is called an equivalence class

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## For the mathematically minded

- MFA and Equivalence Classes
  - Distinguishability can be shown to be an equivalence relation on a language.
  - This relation partitions the strings of  $L$  into a number of equivalence classes.
  - Each equivalence class corresponds to a state in the MFA.

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## Decision Properties

- Recall:
  - One reason for all this theory is to create a model for computation
  - Develop algorithms for problems using theoretical machines
  - Decision problems for regular languages
    - “yes”/”no” problems

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## Decision Properties

- Given regular languages, specified in any one of the four means, can we develop algorithms that answer the following questions:
  1. Is the language empty?
  2. Is the language finite? (save for next time)
  3. Is a given string in the language?
  4. Given two languages, are there strings that are in both?
  5. Is the language a subset of another regular language?
  6. Is the language the same as another regular language?

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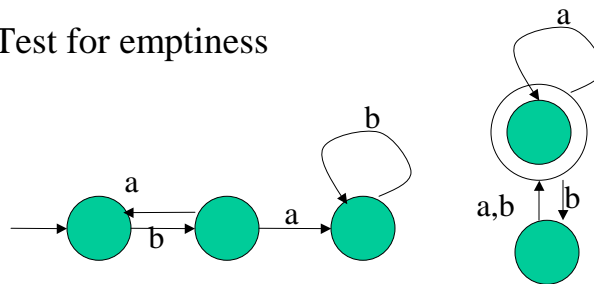
## Decision properties

- Test for emptiness
  - Obtain the FA for the regular language
  - $L(M)$  will be empty if either
    - $M$  has no accepting states
    - There is no path from the start state to any of the accepting states.
      - Can determine this by systematically mapping all paths from the start state

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## Decision Problems

- Test for emptiness



- There is no path from the start to an accepting state

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## Decision properties

- Test for membership
  - Given a language  $L$  and a string  $x$ , is  $x$  in  $L$ ?
  - Obtain the FA for the language
  - Run the machine on input  $x$ 
    - If the machine accepts,  $x$  in  $L$
    - Otherwise,  $x$  not in  $L$

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## Decision properties

- Test for inclusion in 2 languages
  - Given two languages  $L_1$  and  $L_2$ , is there a string  $x$  that is in both.
  - The same as asking  $x \in (L_1 \cap L_2)$
  - We know how to build an FA for  $L_1 \cap L_2$  from the FAs that accept these languages.
  - Run  $x$  through this machine
    - If the machine accepts,  $x$  in both  $L_1$  and  $L_2$
    - Otherwise,  $x$  not in  $L_1$  and  $L_2$

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## Decision properties

- Test for subset
  - Given two regular languages  $L_1$  and  $L_2$ 
    - is  $L_1 \subseteq L_2$
  - This is the same as asking
    - Is  $L_1 - L_2 = \emptyset$
  - We know how to build a FA for  $L_1 - L_2$  from the FAs that accept these languages
  - Apply the empty test to the resultant FA

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## Decision properties

- Test for equivalence
  - Given specifications for two regular languages, do the specifications describe the same language?
    - Create the MFA for each
    - Compare on a state by state basis.

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# Summary

- Decision Problems for regular languages
- New tools
  - Minimal Finite Automata
  - Pumping Lemma (soon...)

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