



**TEXAS ETHICS COMMISSION 2002 FILING SCHEDULE FOR
CANDIDATES AND OFFICEHOLDERS
WHO FILE WITH THE TEXAS ETHICS COMMISSION**

This is a filing schedule for the Secretary of State and candidates for and officeholders in the following offices:

- Statewide elective offices (Governor, Lieutenant Governor, Attorney General, State Comptroller, Land Commissioner, Agriculture Commissioner, Railroad Commissioner, Supreme Court Justice, Court of Criminal Appeals Judge)
- The Texas Legislature
- Court of Appeals Judge
- The State Board of Education
- District judges
- District attorneys from multi-county districts

The candidates for and officeholders in the offices listed above file campaign finance reports with the Texas Ethics Commission. Candidates for and officeholders in local offices that are regularly filled at the general election for state and county officers (the November election in even-numbered years) should use the 2002 FILING SCHEDULE FOR LOCAL CANDIDATES AND OFFICEHOLDERS WHO FILE WITH THE COUNTY CLERK OR ELECTIONS ADMINISTRATOR. Candidates for and officeholders in local offices that are filled on uniform election dates (in February, May, and September of any year, and in November of odd-numbered years) should use the 2002 FILING SCHEDULE FOR ELECTIONS HELD ON UNIFORM ELECTION DATES. Examples of these types of offices include school board positions and city offices. If you are a judicial candidate or officeholder, please see the note attached to the end of the schedule.

EXPLANATION OF THE FILING SCHEDULE CHART

COLUMN I: REPORT DUE DATE - This is the date by which the report must be filed. The deadline for filing a report is 5 p.m. on the due date. If the due date for a report falls on a Saturday, Sunday, or legal holiday, the report is due on the next regular business day. This schedule shows the extended deadline, where applicable.

A report transmitted by modem or Internet is considered timely filed if it is transmitted by the filing deadline. A report on paper or on diskette is considered timely filed if it is hand-delivered to 201 E. 14th St., Sam Houston Building, 10th Floor, Austin, Texas, 78701, or deposited with the U.S. Post Office or a common or contract carrier properly addressed with postage and handling charges prepaid by the filing deadline.

COLUMN II: TYPE OF REPORT (WHO FILES) - This column gives the report type and explains which reporting form to use and which filers are required to file the report.

COLUMN III: BEGINNING DATE OF PERIOD COVERED - This column sets out the beginning date of the time period covered by the report. Use the latest one of the applicable dates. The "date of campaign treasurer appointment" is the beginning date only for the *first* report filed after filing a campaign treasurer appointment. For officeholders recently appointed to an elective office, the beginning date for the first report will be the date the officeholder took office, provided that he or she was not already filing as an officeholder or candidate at the time of the appointment.

NOTE: If you are ever confused about the beginning date for a required report, remember this cardinal rule for reporting: **There should never be gaps between reporting periods and, generally, there should not be overlaps.**

COLUMN IV: ENDING DATE OF PERIOD COVERED - This column sets out the ending date of the time period covered by the report. The report must include reportable activity occurring on the ending date.

Please consult the CAMPAIGN FINANCE GUIDE FOR CANDIDATES AND OFFICEHOLDERS WHO FILE WITH THE TEXAS ETHICS COMMISSION or the CAMPAIGN FINANCE GUIDE FOR JUDICIAL CANDIDATES AND OFFICEHOLDERS for further information.

<u>COLUMN I</u>	<u>COLUMN II</u>	<u>COLUMN III</u>	<u>COLUMN IV</u>
DUE DATE	TYPE OF REPORT (WHO FILES)	BEGINNING DATE OF PERIOD COVERED	ENDING DATE OF PERIOD COVERED
Tuesday, January 15, 2002	January semiannual [FORM C/OH or JC/OH] (all candidates and officeholders)	July 1, 2001 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	December 31, 2001
Tuesday, January 15, 2002	Annual report of unexpended contributions [FORM C/OH-UC] (former candidates and former officeholders who have filed a final report and who retained unexpended contributions)	January 1, 2001 <i>or</i> the day after the date the final report was filed	December 31, 2001
REPORTS DUE BEFORE THE MARCH 12, 2002 PRIMARY ELECTION			
Monday, February 11, 2002 <i>Deadline has been extended because regular deadline falls on a Sunday.</i>	30th day before the primary election (March 12, 2002) [FORM C/OH or JC/OH] (all candidates who have an opponent on the ballot in the primary election and who have not elected modified reporting)	January 1, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	January 31, 2002
Monday, February 11, 2002	Personal Financial Statement [FORM PFS] (all candidates up for election in 2002, including unopposed candidates)	January 1, 2001	December 31, 2001
Monday, March 4, 2002	8th day before the primary election (March 12, 2002) [FORM C/OH or JC/OH] (all candidates who have an opponent on the ballot in the primary election and who have not elected modified reporting)	February 1, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	March 2, 2002

<u>COLUMN I</u>	<u>COLUMN II</u>	<u>COLUMN III</u>	<u>COLUMN IV</u>
DUE DATE	TYPE OF REPORT (WHO FILES)	BEGINNING DATE OF PERIOD COVERED	ENDING DATE OF PERIOD COVERED
REPORTS DUE BEFORE THE APRIL 9, 2002 PRIMARY RUNOFF ELECTION			
Monday, April 1, 2002	8th day before the primary runoff election (April 9, 2002) [FORM C/OH or JC/OH] (all candidates in the primary runoff election who have not elected modified reporting)	March 3, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	March 30, 2002
Tuesday, April 30, 2002	Personal Financial Statement [FORM PFS] (all officeholders, except those who were required to file by February 11, 2002)	January 1, 2001	December 31, 2001
Monday, July 15, 2002	July Semiannual [FORM C/OH or JC/OH] (all candidates and officeholders)	January 1, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	June 30, 2002
REPORTS DUE BEFORE THE NOVEMBER 5, 2002 GENERAL ELECTION			
Monday, October 7, 2002 <i>Deadline has been extended because regular deadline falls on a Sunday.</i>	30th day before the general election (November 5, 2002) [FORM C/OH or JC/OH] (all candidates who have an opponent on the ballot in the general election and who have not elected modified reporting)	July 1, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	September 26, 2002
Monday, October 28, 2002	8th day before the general election (November 5, 2002) [FORM C/OH or JC/OH] (all candidates who have an opponent on the ballot in the general election and who have not elected modified reporting)	September 27, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	October 26, 2002

<u>COLUMN I</u>	<u>COLUMN II</u>	<u>COLUMN III</u>	<u>COLUMN IV</u>
DUE DATE	TYPE OF REPORT (WHO FILES)	BEGINNING DATE OF PERIOD COVERED	ENDING DATE OF PERIOD COVERED
Wednesday, January 15, 2003	January semiannual [FORM C/OH or JC/OH] (all candidates and officeholders)	July 1, 2002 <i>or</i> the date of campaign treasurer appointment <i>or</i> the day after the date the last report ended	December 31, 2002
Wednesday, January 15, 2003	Annual report of unexpended contributions [FORM C/OH-UC] (former candidates and former officeholders who have filed a final report and who retained unexpended contributions)	January 1, 2002 <i>or</i> the day after the date the final report was filed	December 31, 2002

Moratorium on Contributions

December 14, 2002--Last day to accept contributions before the 2003 Legislative Session

June 3, 2003--First day to accept contributions after the 2003 Legislative Session

Please note that December 14, 2002, is the last day on which legislators, certain statewide officers, and certain political committees may accept contributions. The moratorium against accepting contributions begins December 15, 2002, and continues through June 2, 2003.

The following are subject to the moratorium:

- Statewide officeholders, including officeholders-elect;
- Members of the legislature, including members-elect;
- Specific-purpose committees that support, oppose, or assist statewide officeholders or members of the legislature; and
- Legislative caucuses.

Note that judicial offices are not covered by this moratorium. Judicial offices are subject to other laws that provide specific judicial fundraising periods.

IMPORTANT INFORMATION FOR JUDICIAL CANDIDATES AND OFFICEHOLDERS

Filing Authorities. Candidates for single-county district judge must file their campaign treasurer appointments and judicial declarations of intent with the Texas Ethics Commission. Candidates for and holders of single-county district judgeships must file campaign finance reports with *both* the Texas Ethics Commission *and* the local filing authority. The local filing authority is generally the county clerk or county elections administrator.

Judicial Declaration of Intent Required. In addition to a candidate campaign treasurer appointment, a candidate subject to the Judicial Campaign Fairness Act¹ must file the a judicial declaration of intent regarding expenditure limits with the proper filing authority before he or she may accept a campaign contribution or make or authorize a campaign expenditure.

Limited Time Period for Accepting Contributions. A candidate subject to the Judicial Campaign Fairness Act may accept political contributions (campaign contributions or officeholder contributions) only during a limited time period.

Beginning Date. Candidates seeking an office that will be filled at the November 5, 2002, general election may accept political contributions during a time period that begins on **June 6, 2001**. (A **write-in candidate** may begin accepting contributions only after filing a declaration of write-in candidacy with the secretary of state or county judge, as applicable.)

Ending Date. The time period ends on one of the following dates, as applicable:

July 10, 2002, for candidates who are not involved in a primary election runoff and who are unopposed in the general election, or for candidates who lost in the primary election.

August 7, 2002, for candidates who are involved in a primary election runoff and who are unopposed in the general election, or for candidates who lost in the primary runoff election.

March 5, 2003, for candidates opposed in the general election.

Other Restrictions. Candidates and officeholders subject to the Judicial Campaign Fairness Act are subject to various campaign finance restrictions that do not apply to nonjudicial candidates and officeholders. For more information, see the Ethics Commission's CAMPAIGN FINANCE GUIDE FOR JUDICIAL CANDIDATES AND OFFICEHOLDERS, which is available by mail from the Ethics Commission or on the Ethics Commission's web site at www.ethics.state.tx.us on the Internet.

¹ The Judicial Campaign Fairness Act applies to political contributions and expenditures in connection with the office of chief justice or justice, supreme court; presiding judge or judge, court of criminal appeals; chief justice or justice, court of appeals; district judge; judge, statutory county court; or judge, statutory probate court.