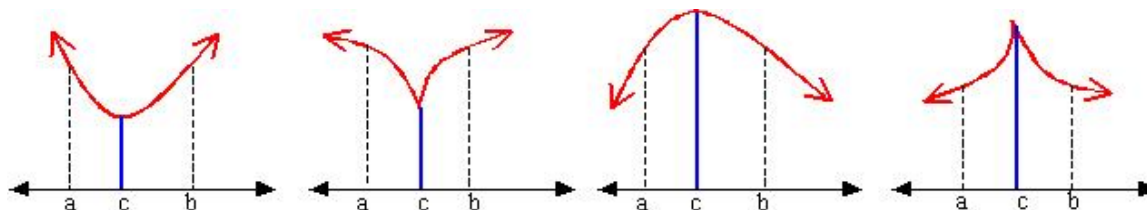


# Math 53 Lecture: Relative Extrema and Absolute Extrema

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Lecture 6

**Definition 1** A function  $f$  is said to have a relative maximum (minimum) value at  $c$  if there exists an open interval containing  $c$  on which  $f$  is defined, such that  $f(c) \geq f(x)$  ( $f(c) \leq f(x)$ ) for all  $x$  in the interval.



**Theorem 1** If  $f(x)$  exists for  $x \in (a, b)$  and  $f$  has a relative extremum value at  $c$ ,  $a < c < b$  and  $f'(c)$  exists, then  $f'(c) = 0$ .

The converse of the above theorem is not true as seen in the following example.

**Example:** The function  $f(x) = (x - 1)^3$  does not have relative extremum at  $x = 1$  although  $f'(1) = 0$ .

**Example:** The function  $f(x) = \begin{cases} x - 1 & x \leq 4 \\ 7 - x & x > 4 \end{cases}$  has a relative extremum at  $x = 4$  although  $f'(4)$  does not exist.

From the above examples, a necessary condition for  $f$  to have a relative extremum value at  $c$  is either  $f'(c) = 0$  or  $f'(c)$  does not exist. But they are not sufficient conditions.

**Definition 2** If  $c$  is a number in the domain of  $f$  and  $f'(c) = 0$  or  $f'(c)$  does not exist, then  $c$  is called a critical number of  $f$ .

**Exercises:** Find all possible critical numbers of the following functions.

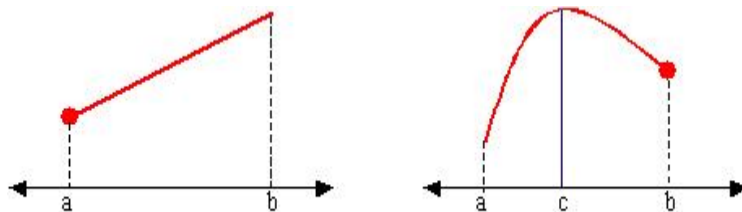
1.  $f(x) = x^3 + 7x^2 - 5x$

2.  $f(x) = 2x^3 - 2x^2 - 16x + 1$

3.  $f(x) = \frac{x + 1}{x^2 - 5x + 4}$

As a final note, relative extremum values are not necessarily relative to any specified interval. If a particular interval, be it open, closed, half-open or half-closed, then what we have is an absolute extremum value.

**Definition 3** The function  $f$  is said to have an absolute maximum (minimum) value on an interval  $I$  if there is a number  $c \in I$  such that  $f(c) \geq f(x)$  ( $f(c) \leq f(x)$ ) for all  $x$  in the interval. We say  $f(c)$  is the absolute maximum (minimum) value of  $f$  in  $I$ .



**Theorem 2 (The Extreme Value Theorem)** If the function  $f$  is continuous on the closed interval  $[a, b]$ , then  $f$  has an absolute minimum value and an absolute maximum value on  $[a, b]$ .

As an application of the Extreme Value Theorem, we consider the following steps in finding the absolute minimum or absolute maximum of a function  $f(x)$  on a closed interval  $[a, b]$ .

1. Get  $f'(x)$ .
2. Find all possible critical numbers  $c_1, c_2, \dots, c_n$  of  $f$  on  $[a, b]$  and get their functional values  $f(c_i)$  for every  $i = 1, 2, \dots, n$ .
3. Find  $f(a)$  and  $f(b)$ .
4. The largest of the values in (2) and (3) is the absolute maximum value and the least is the absolute minimum value.

**Exercises:** Find the absolute maximum and the absolute minimum values of the following functions given the specified closed interval.

1.  $g(x) = x^3 + 5x - 4$ ;  $[-3, -1]$
2.  $f(x) = x^4 - 8x^2 + 16$ ;  $[-4, 0]$
3.  $f(t) = 2 \sin t$ ;  $[-\pi, \pi]$
4.  $f(x) = \frac{x}{x+2}$ ;  $[-1, 2]$
5.  $F(x) = \begin{cases} 3x - 4 & \text{if } -3 \leq x < 1 \\ x^2 - 2 & \text{if } 1 \leq x \leq 3 \end{cases}$ ;  $[-3, 3]$